

Accredited Investor Individual Declaration Form

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- Use this form to identify yourself as an Accredited Investor as defined in the Securities and Futures Act (Cap. 289).
- This form is for Individual Account Holders only. If the Account Holder is an entity, please contact us to obtain the relevant form.
- Each holder of a Joint Account must complete their own Accredited Investor Individual Declaration Form separately.
- Complete all parts of this form as directed and provide all information requested.
- This form must be signed and dated.

“Accredited Investor” means an individual

(A) whose net personal assets exceed in value SGD 2 million (or its equivalent in a foreign currency) or such other amount as the Authority may prescribe in place of the first amount; or

(B) whose income in the preceding 12 months is not less than SGD 300,000 (or its equivalent in a foreign currency) or such other amount as the Authority may prescribe in place of the first amount.

PART I. Identification of Individual Account Holder

Schwab Singapore Account Number – Required (unless new account)	
Individual Account Holder Name	
NRIC or Passport Number	

PART II. Declaration of Accredited Status

I confirm that I am an Accredited Investor as defined in Section 4A(1)(a) of the Securities and Futures Act (Cap. 289). <i>*Please select the appropriate declaration (at least one must be selected to be an Accredited Investor).</i>	
Net Personal Assets	<input type="checkbox"/> I am an individual whose net personal assets exceed SGD 2 million (or its equivalent in a foreign currency). Please complete Part III and provide relevant supporting information and documents.
Personal Income	<input type="checkbox"/> I am an individual whose personal income in the preceding 12 months is not less than SGD 300,000 (or its equivalent in a foreign currency). Please attach copies of supporting documents, for example: IR8A, Tax Notice, Pay Slip, Bank Statements, and proceed to Part IV.

Please proceed to the next page.

FOR CHARLES SCHWAB USE ONLY:												
Branch Office and Account Number										Source Code	Index Code	
Approved by	Print Name of Reviewer								Date Approved			



PART III. Supporting Evidence for Net Personal Assets

This information will be used to assess your status as an Accredited Investor based on your Net Personal Assets exceeding SGD 2 million. Your information will not be shared with third parties outside of The Charles Schwab Corporation.			
Assets and Liabilities	Additional Information Required	Supporting Evidence	Estimated Value (SGD)
Cash and Deposits		• Bank Statement	
Property 1	Address:	• Title Deed • Property Tax	
Property 2	Address:		
CPF Balance		• CPF Statement	
Securities		• CDP/Brokerage/ Bank Statement	
Non-Securities Investments			
Other Assets	Description:	• Statement or Ownership Papers	
Total Assets			
Loans (Mortgages, Vehicle, etc.)			
Debt (Credit Card, Tax, etc.)			
Total Liabilities			
Net Personal Assets (Total Assets – Total Liabilities)			

PART IV. Declaration and Signature

- I declare that all statements made in this Accredited Investor Individual Declaration Form are, to the best of my knowledge and belief, true, correct, and complete.
- I understand that Accredited Investor Individual Declaration is subject to a review and assessment by Schwab Singapore and that Schwab Singapore retains the sole and absolute discretion to determine the Individual Account Holder's classification as an accredited investor.
- I agree that if there is a change in circumstances that affects the information provided in this Accredited Investor Declaration Form or causes the information provided to become incorrect or incomplete, that I as the Individual Account Holder will provide a new Accredited Investor Declaration Form within 30 days of the change in circumstances.
- I certify that I am the Individual Account Holder identified above, or I am authorised to sign for the Individual Account Holder, for all the accounts to which this form relates.
- I understand that to the extent permitted under law (a) information regarding the Individual Account Holder and the Individual Account Holder's account(s) may be reported to the authorities of the country(ies) where the account(s) is(are) maintained; and (b) those authorities may provide such information to the country(ies) where the Individual Account Holder is a tax resident pursuant to the applicable intergovernmental agreement(s) regarding the exchange of financial account information.

Signature of Account Holder and Date Required

X Account Holder Signature	Print Name	Date (mm/dd/yyyy)
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To return this form:**Electronic Delivery**

Please return this form and copies of any supporting evidence by scanning and attaching the form to a secure message on schwab.com.sg.

- Log in to your account.
- Click on the Message Center and compose a new message.
- Upload your form as an attachment.
- When your message is complete, click Send.

Mail Delivery

Please return this form and copies of any supporting evidence by mail to Charles Schwab Singapore Pte. Ltd.

Attn: International Account Solutions
Charles Schwab Singapore Pte. Ltd.
1 George Street, #07-01A
Singapore 049145

